

# Letter of Transmittal

**U.S. Department of Housing  
and Urban Development**  
Government National Mortgage Association

OMB Approval No. 2503-0016 (Exp. 9/30/98)

**Public reporting burden** for this collection of information is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Reports Management Officer, Paperwork Reduction Project (2503-0016), Office of Information Technology, U.S. Department of Housing and Urban Development, Washington, D.C. 20410-3600. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

**Do not send this form to the above address.**

The information is required by Sec. 306(g) of the National Housing Act or by GNMA Handbook 5500.1 and .2.

Applicant	Issuer I.D. Number (Approved Issuers Only)
Street Address	Date
City, State and Zip Code	Phone No.

Government National Mortgage Association  
Office of Mortgage-Backed Securities  
451 Seventh Street, S.W.  
Washington, D.C. 20410

Gentlemen:

This requests approval for the indicated activity(ies) and transmits the required materials.

1. ☐ Application for approval as an issuer. Transmit the following:
  - ☐ Form HUD-11701, "Application for Approval as a Mortgage-Backed Securities Issuer."
  - ☐ Form HUD-11702, "Resolution of Board of Directors and Certificate of Authorized Signatures."
  - ☐ Applicant's annual financial statement for each of the latest three fiscal years.
  - ☐ Resumes.
  - ☐ Evidence of fidelity insurance coverage.
  - ☐ Endorsement of Insurance coverage to GNMA.
  - ☐ A check, payable to GNMA, for the application fee.
2. ☐ Application for approval as a GNMA II issuer. Transmit the following:
  - ☐ Form HUD-11702, "Resolution of Board of Directors and Certificate of Authorized Signatures."
  - ☐ Form HUD-11709A, "ACH Debit Authorization."
  - ☐ Form HUD-11709, "Letter Agreement for Servicer's Principal and Interest Custodial Account."
3. ☐ Application for commitment authority to guarantee securities. Transmit the following:
  - ☐ Form HUD-11704, "Commitment to Guarantee Mortgage-Backed Securities."
  - ☐ Applicant's annual financial statement for the latest fiscal year. (Not necessary if the statement already has been submitted to GNMA.)
  - ☐ Updated information, as applicable, concerning key officers and employees, fidelity insurance coverage, and financial and business status. GNMA must be notified of changes in key officers and employees through submissions, as appropriate, of new forms HUD-11702 and resumes.
  - ☐ A check, payable to GNMA, for the application fee.
  - ☐ In the spaces provided, enter the requested information:

Total **amount** of commitment authority applied for: \$ \_\_\_\_\_

Total **fee** enclosed is: \$ \_\_\_\_\_

4. ☐ Application for additional Pool Numbers. (Base request on number of pools of each type expected to be issued within the next 365 days.)
  - ☐ In the spaces provided, enter the requested information:

Total Number of Standard Pool Numbers		Total Number of Graduated Payment and Growing Equity Pool Numbers		Total Number of Adjustable Payment Pool Numbers	
By (Authorized Signature)			Name and Title		

**GNMA Use Only**

Applicant is approved as an issuer of GNMA II securities.

By (Authorized GNMA Signature)	Date
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